Deep Q-learning for Active Recognition of GERMS: Baseline performance on a standardized dataset for active learning

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The Active Object Recognition (AOR) Problem

- The recognition module: what is this?
- The **control** module: where to look?
- Goal: find a sequence of sensor control commands that maximizes recognition accuracy and speed.



Figure : The AOR problem for the RUBI robot [Malmir et al.,].

- A benchmark dataset for the AOR research
 - more difficult than previous ones, e.g. [Nayar et al., 1996].
 - without the need to have access to a physical robot.
- A baseline method and its performance
 - combines deep learning and reinforcement learning: deep Q-learning.



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- The RUBI project at UCSD Machine Perception Lab.
- Six configurations for each object, two arms and three axes.
- RUBI brings the object to its center of view, rotate object by 180°.



- Data format: [image][capture time][joint angles].
- Joint angles: 2-DOF head , 7-DOF arms X 2.
- 136 objects, 1365 videos, 30fps, 8.9s on average.
- Bound boxes are annotated manually.



Figure : Left: the collage of all 136 objects. Right: some ambiguous objects that require rotation to disambiguate.



The videos for the left arm and for the right arm.



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The goal: what to do in a state?



Figure : The agent-environment interaction and Markov decision process (MDP).

Definition

- A tuple $\langle \mathcal{S}, \mathcal{A}, \mathcal{P}, \mathcal{R}, \gamma \rangle$, where
 - S is a finite set of states.
 - \mathcal{A} is a finite set of actions.
 - \mathcal{P} is a state transition probability matrix. $\mathcal{P}_{ss'}^a = \mathbb{P}[s'|s, a]$.
 - \mathcal{R} is a reward function, $\mathcal{R}_s^a = \mathbb{E}[r|s, a]$.
 - γ is a discount factor, $\gamma \in [0, 1)$.

Policy

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 $Q^{\pi}(s, a) = \mathbb{E}_{\pi}[v_t|s_t = s, a_t = a]$, expected return starting from state *s*, taking action *a*, and then following policy π .

Policy and Value Function

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Goal of reinforcement learning

Find optimal policy:

$$\pi^*(s,a) = egin{cases} 1 & ext{if } a = rg\max_{a \in \mathcal{A}} Q(s,a) \ 0 & ext{otherwise} \end{cases}$$

Therefore, if we know Q(s, a), we find the optimal policy.

Action-value function recursive decomposition

$$Q^{\pi}(s, a) = \mathbb{E}_{\pi}[r_{t+1} + \gamma Q^{\pi}(s_{t+1}, a_{t+1})|s_t = s, a_t = a]$$

Dynamic programming to solve MDP

Assumption: environment model \mathcal{P}, \mathcal{R} is fully known.

The Q-learning algorithm [Sutton and Barto, 1998]

```
Initialize Q(s, a) arbitrarily
Repeat (for each episode):
Initialize s
Repeat (for each step):
Choose a from s
Take action a, observe r, s'
Q(s, a) \leftarrow Q(s, a) + \alpha[r + \gamma \max_{a'} Q(s', a') - Q(s, a)]
s \leftarrow s'
until s is terminal
```

Remark

 $r + \gamma \max_{a'} Q(s', a')$ can be seen as a supervised learning target, but it is changing.

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The basic Q-learning

Assumptions: discrete states and actions (lookup Q-table); manually defined state space.

The deep Q-learning

Using a deep neural network to approximate the Q function.

The Network Architecture



Figure : The deep network architecture in [Malmir et al.,].

MDP

- The state B_t : the output of softmax layer of the CNN at time t, i.e., the belief vector over object labels.
 - not the input image at time step t, as in [Mnih et al., 2013].
 - use Naive Bayes to accumulate belief from history.



Figure : The state space representation in [Malmir et al.,].

MDP

- a_t : ten rotation commands $\{\pm \pi/64, \pm \pi/32, \pm \pi/16, \pm \pi/8, \pm \pi/4\}$.
- \mathcal{P} : transition matrix **unknown** (The reason they used Q-learning).
- \mathcal{R} : +10 for correct classification, -10 ow.
- γ : unknown.

• Exactly the Q-learning algorithm.

$$Q(B_t, a_t) \leftarrow Q(B_t, a_t) + \alpha[r_t + \gamma \max_a Q(B_{t+1}, a) - Q(B_t, a_t)]$$

• For network weights update, use stochastic gradient descent:

$$W \leftarrow W - \lambda [r_t + \gamma \max_a Q(B_{t+1}, a) - Q(B_t, a_t)] \frac{\partial}{\partial W} Q(B_t, a_t)$$

 mini-batch update. This is a key trick to stabilize deep RL network. Otherwise, the learning target is changing rapidly and it will not converge.



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Figure : The experiment results on classification accuracy [Malmir et al.,].

Prediction Accuracy(%) Method	48	53	55	58	62	
Sequential	18	30	-	-	-	
Random	2	4	6	10	-	Right Arm
DQL	1	2	2	3	10	
Sequential	15	24	-	-	-	
Random	3	10	18	-	-	Left Arm
DQL	1	3	3	7	-	

Figure : The number of steps required to achieve certain classification accuracy by different algorithms [Malmir et al.,].



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Conclusions

- The GERMS dataset.
- The deep Q-learning for AOR, however, much space left for improvement:
 - performance-wise.
 - very basic version of deep Q-learning.

- Right arm outperforms left arm.
- "Uncommon" objects for robotic tasks.
- Manual bounding box annotations is labor intensive.
- State representation (belief vector).
- The most representative frame?
- Any other similar datasets?
- Extension: using RNN to combine the two modules (control and recognition), e.g., **Recurrent models of visual attention** [Mnih et al., 2014].

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Image: A matrix and a matrix

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